# LEGAL STATUS OF WARSHIP WRECKS FROM WORLD WAR II IN INDONESIAN TERRITORIAL WATERS

## (INCIDENT OF H.M.A.S. PERTH COMMERCIAL SALVAGING)

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## **Abstract**

Second World War was one of the most devastating experiences that World as a whole had to endure. The war left so many issues unhandled, one such issue is the theme of this thesis, and that is to analyze legal regime that is governing sunken warships. Status of warship still in service is protected by international law and national law of flag State, stipulating that warships are entitled on sovereign immunity. The question rises whether or not such sovereign immunity status follows warship wreck? Contemporary international law regulates very little considering 'sovereign wrecks', but customary international law, municipal court decisions and State practices are addressing issue quite profoundly, stating that even the warship is no longer in service it is still entitled on sovereign immunity status. HMAS Perth is Australian owned warship whose wreck current location is within Indonesian Territorial Sea. Recent reports show that commercial salvaging has been done, provoking outrage amongst surviving HMAS Perth's naval personnel and Australian historians. In order to acquire clear stand point on issue of Sovereign Wrecks legal status, especially of HMAS Perth's wreck, an in-depth analysis of legal material is necessary.

Keywords: Territorial Waters, Warship, Warship Wreck, Salvage

## A. Background

Sea going vessels have been used as means of transport and warfare as long as there is known history. The fact that 70% of our earth surface is water<sup>1</sup> makes these vessels important even today. Warships have played crucial role in outcome of Second World War<sup>2</sup>, and Indonesia, which waters many countries used as passage, had its part as well.

<sup>1</sup> Student Atlas of the World, National Geographic Society, Washington DC, 2009, page 26.

<sup>&</sup>lt;sup>2</sup> World War Two started in 1939 when Hitler invaded Poland on 1 September, and Britain and France declared war to Germany two days later. The war ended 1945 when Russians reached Berlin and Hitler committed suicide. On the other side of the world USA dropped Atomic Bombs on Hiroshima and Nagasaki which ended war in eastern part of the globe. Bruce Robinson, **World War Two: Summary Outline of Key Events** (*online*), http://www.bbc .co.uk/history /world wars/wwtwo/, (01 May 2014).

During Second World War waters of Indonesia's archipelago were mainly used by warships belonging to Imperial Japanese Navy and Allay forces. Japan's intent was to expand its empire by occupying territories of former British and Dutch colonies, and Australia being neighboring country felt threatened. America, Britain, Dutch and Australia (ABDA) would face Japanese Navy fleet in more than one occasion,<sup>3</sup> trying to prevail in war for Pacific. However, the strength of Japanese navy fleets was not to be underestimated. Majority of these battles were won by Japan, including the battle for Sunda Strait on 28 February 1942,<sup>4</sup> causing ABDA ships to sink, including HMAS Perth.<sup>5</sup>

HMAS Perth was Royal Australian Navy commissioned warship, build in Portsmouth, England. Her primarily duty was to patrol and provide escort to ships over Australian coastline, when her Captain got new orders, which took HMAS Perth to Mediterranean where she joined 7th Cruiser Squadron under Admiral Andrew Cunningham's command,<sup>6</sup> and this was to be her first war experience. HMAS Perth promptly returned to Australia, resuming it's primarily duty, which will take her to Indonesian Archipelago where she will become part of ABDA forces, trying to repeal advance of Japanese Navy. The Sunda Strait battle will prove itself fatal for HMAS Perth and USS Houston. Both ally ships engaged Japanese destroyer warships, ships generally larger and better armed than those operated by ally forces,<sup>7</sup> causing both ships sank around midnight. HMAS Perth sank at 00.25 on 1 March 1942,<sup>8</sup> together with 350 of 671 its Naval Personnel. Rest of crew survived only to be captured, who upon the end of the war, 214 of them, were repatriated where rest died in captivity.<sup>9</sup>

Today HMAS Perth lies at 35 (thirty five) meters deep of Sunda Strait shallow waters. Recent reports show that the condition of the ship is such that there is evidence of commercial salvaging done, where many of the ships parts are

<sup>&</sup>lt;sup>3</sup> Battle of Java sea on 27 February 1942; Battle of the Coral Sea, 7-9 may 1942; Battle of Layte Gulf 23-25 October 1944; Battle of Philippine sea 19-20 June 1944. **History Year by Year**, Dorling Kinderslay Limited, Great Britain, 2011, Page 394-395

<sup>&</sup>lt;sup>5</sup> Australian Navy, **HMAS Perth (I)** (*online*), http://www.navy.gov.au/hmas-perth-i (04 April 2014)

<sup>&</sup>lt;sup>6</sup> Donald Summerville & Ian Westwell, **First and Second World War**, Lorenz Books, London, page 307.

<sup>&</sup>lt;sup>7</sup> *Loc.Cit.*, Australian Navy.

<sup>&</sup>lt;sup>8</sup> Ibid.

<sup>&</sup>lt;sup>9</sup> Ibid.

missing, for which is known that they were intact at the time of ships sinking.<sup>10</sup> Australian Survivors, historians and Defense personnel have been horrified to discover that the wreck of HMAS Perth is being destroyed by commercial salvagers.<sup>11</sup>

International law attributes sovereign immunity status to warships, ensuring that these ships are not to be "subject to seizure, arrest or detention by any legal process, nor to any proceedings *in rem.*" A warship is a seagoing vessel owned by States armed forces, wearing clear external marks, Commanded by an officer and manned by naval personnel. HMAS Perth, at the time she was commissioned by Australian Navy fulfilled all condition of a warship, thus she was entitled on sovereign immunity status. Whether or not such status still follows her wreck is one of the questions this paper will try to analyze.

Location of the wreck further complicates legal status of HMAS Perth. As is regulated in UNCLOS 1982, territorial sea is an adjacent belt of sea, <sup>14</sup> governed by sovereignty of coastal State, <sup>15</sup> which is incidental and does not need to be established. <sup>16</sup> Such sovereignty is only limited by innocent passage, which is "right of a foreign vessel to travel to a country's maritime belt without paying a tall". <sup>17</sup> Every action, such as for example salvaging efforts of a wreck, has to be governed by coastal States national laws.

Clearly the conflict of valid states jurisdiction occurs in case of HMAS Perth wreck. Indonesian sovereignty extends over area where HMAS Perth's wreck lies giving Indonesia exclusive rights over its territorial sea, while Australia as an identifiable owner of its 'sovereign wreck' has valid right to claim the wreck

All 4" Gun Turrets are missing. It is known that S2 4" received a direct hit and was blown over the side. It is not known what happened to the other three turrets. Many items have apparently been removed from the wreck by salvagers. **Photos Of The Perth & Houston Wrecks Today** (*online*) http://www.perthone.com/pwre.html, (06 April 2014).

Linton Besser, Dan Oakes and Norman Hermant, **HMAS Perth: WWII warship grave stripped by salvagers** (*online*), http://www.abc.net.au/news/2013-12-13/outrage-as-warship-grave-stripped-by-salvagers/5156320,(21 of April 2014).

Article 3, Paragraph (1), International Convention For The Unification Of Certain Rules

Article 3, Paragraph (1), International Convention For The Unification Of Certain Rules Concerning The Immunity Of State-Owned Ships 1926.

<sup>&</sup>lt;sup>13</sup> Article 29, United Nations Convention on Law of the Sea 1982 (UNCLOS 1982).

<sup>&</sup>lt;sup>14</sup> *Ibid.*, Article 2 paragraph (1).

<sup>&</sup>lt;sup>15</sup> Ibid.

<sup>&</sup>lt;sup>16</sup> Aust, Anthony, **Handbook of International Law**, Cambridge University Press, New York, 2005, page 302.

<sup>&</sup>lt;sup>17</sup> Bryan A. Garner, **Black's Law Dictionary**, Thomson Reuters, USA, 2009, page 860.

based on sovereign prerogative. This paper will analyze closely what sort of rights and obligations both state have in regard to HMAS Perth's wreck, and give suggestion on what steps should be taken to reach mutually beneficiary solution.

#### B. Problem Formulation

The problems that will be addressed in this paper are as follows:

- 1. What is the legal status of HMAS Perth, as a warship wreck lying within Indonesian territorial sea, under international law and Indonesian national rules?
- 2. What are rights and obligation of Indonesia since HMAS Perth's wreck is in its territorial sea and what are the rights of Australia as an identifiable owner of HMAS Perth's wreck?

#### C. Research Method

# 1. Type of Research

This writing will use juridical-normative method, including reviewing and analyzing the rules of international law concerning Law of the Sea, protection of warship wrecks and laws considering salvage, as well conducting comprehensive analysis of Indonesian National Law.

Further research will be conducted over national legal acts in Indonesia and comparative study to Australian legislature products considering warship wrecks, their protection and salvage. The writer has intention to address municipal courts decisions of international character as well, to find out what sort of law was used to resolve a case considering salvage of warship wrecks.

#### 2. Research Approach

The approach in this paper is the *Statute Approach* which in this research will explore the nature of legal matters-formal and *Case Approach* in-depth analysis of the cases. Hence law material used more emphasis on secondary data, which in this case comes from the material obtained, such as primary legal materials and secondary legal materials.

# 3. Type of Legal Material

a. Primary Legal Material is data collected from legal material that has binding force considering problem that is researched, as well as

legal material that is binding for majority of states of the world, even not yet ratified by Indonesia. The primary legal material used in this thesis is legal material connected to law of the sea, law of salvage, and laws considering protection of warship wrecks, as well as the status of state owned vessels. These specific regulations are as it follows:

- 1) UNCLOS 1982;
- 2) IMO Convention on Salvage 1989;
- 3) UNESCO Convention on Protection of Underwater Cultural Heritage 2001;
- 4) International Convention For The Unification Of Certain Rules Concerning The Immunity Of State-Owned Ships 1926;
- 5) Act of Republic Indonesia Number 11 Year 2010 on Cultural Heritage Objects.
- b. Secondary legal material is a complementary material to primary legal material such as publications of international organizations, writings of expert considering salvaging and historical objects found at sea, as well as judiciary decisions.
- c. Tertiary Legal Material is material that provides clues and explanations towards primary and secondary legal material, such as acknowledged governmental and non-governmental websites considering salvaging and historical object found at sea, as well as law dictionaries, and all other sorts of material.

## 4. Legal Materials Collection Techniques

- a. Library Research, the method of data collection to learn and perform a systematic analysis of the literature in the library a number of books and legislation, lecture notes and other source materials relating to the subject discussed in this thesis.
- b. Case Research, which typically involves research by analyzing the cases relating to the title of the study, acquisition of data obtained

thus implemented by way of interpretation of the case relating to the research.

# 5. Legal Materials Analysis Techniques

To reach a conclusion of this research from data which is already gathered, author will use juridical-qualitative method of analysis, meaning that analysis conducted towards data available will have normative strong point, through indebt analysis of applicable international law as well of national rules and regulations.

# D. Analysis

# 1. Legal Status of HMAS Perth's Wreck

#### a. Records of HMAS Perth's Service

HMAS Perth English made Light Cruiser, made and assembled in Portsmouth Naval Dockyard, and commissioned into the Royal Navy as HMAS Amphion on 15 June 1936. Three years later she was bought by Australian Government and was commissioned into Royal Australian Navy (RAN) on 29 June 1939. Cruiser Amphion was officially renamed as HMAS Perth on 10 July 1939 in a ceremony led by Princess Marina, The Duchess of Kent. She reached Australia on 31 March 1940 and HMAS Perth got "engaged on patrol and escort duties in Australian waters."

HMAS Perth got new orders to escort seventh Middle East Convoy, US 7, on 28 November 1940, accompanied by ships of Royal Navy, Royal Australian Navy, and Royal Indian Navy. First real war experience that HMAS Pert had was when she joined Mediterranean Fleet, 7th Cruiser Squadron under Admiral Andrew Cunningham's command. HMAS Perth was involved in the evacuations of Crete and Greece in April and May 1941, "in the course of which she was badly damaged by bombing." HMAS Perth sailed towards Alexandria for repairs. And on completion of repairs she joined Fleet units of Syrian coast in June 1941

<sup>20</sup> Loc.Cit., AWM.

<sup>&</sup>lt;sup>18</sup> Anonimus, **HMAS Perth** (I) (online), Navy, http://www.navy.gov.au/hmas-perth-i (03 July 2014).

<sup>19</sup> Loc. Cit., AWM.

and got involved in offensive sweep to intercept Vichy warships.<sup>21</sup> In August 1941 HMAS Perth rejoined Royal Australian Navy and,<sup>22</sup> and on 29 January 1942 HMAS Perth together with several other Australian cruisers was transferred to Australian and New Zealand Army Corps under command of US navy.<sup>23</sup> Escort of military convoy MS4 for passage to Singapore was its duty under US command, and it got detached from MS4 after fall of Singapore, joining Western Striking Force of American British Dutch Australian (ABDA) Command in East Indies.

HMAS Perth arrived at Batavia on 24 February 1942 where she got attacked by Japanese aircraft without sustaining any damage.<sup>24</sup> She joined combined striking force "comprising Dutch cruisers De Ruyter and Java, HMS Electra, US Cruiser Houston, HM Destroyers Electra, Encounter and Jupiter Dutch Destroyers De Witte and Kortenaer, US Destroyers John D Edwards Alden, and John DF Ford"<sup>25</sup>, led by Dutch Admiral Karel Doorman. Allied forces were defeated in Battle for Java Sea on 27 February 1942<sup>26</sup> where large number of destroyers sunk.<sup>27</sup>HMAS Perth and USS Houston managed to brake off and arrived to Tanjuk Priuk on 28 February 1942 to refuel. Both ships got orders to escape through Sunda strait and sail to Tjilatap on south coast of Java. Allied intelligence that was received by HMAS Perth and USS Houston was that strait was clear of enemy fleet, but this intelligence was at least 24 hours late, <sup>28</sup> causing both ships to clash with Japanese invasion force assigned for western Java.<sup>29</sup> Enemy attacked from all sides, but HMAS Perth sustained little damage. Realizing that ship is out of ammunition, Captain H.M.L Waller, of HMAS Perth attempted to force a passage to

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<sup>&</sup>lt;sup>21</sup> Geoffrey B Mason, **Service History of Royal Navy Warships in World War II** (*online*), http://www.naval-history.net/xGM-Chrono-06CL-Perth.htm, (05 Jully 2014).

<sup>&</sup>lt;sup>22</sup> *Ibid*.

<sup>&</sup>lt;sup>23</sup> Ibid.

<sup>&</sup>lt;sup>24</sup> Loc.Cit., Navy.

<sup>&</sup>lt;sup>25</sup> *Loc.Cit.*, Naval History.

<sup>&</sup>lt;sup>26</sup> Loc.Cit., Donald, page 335.

<sup>&</sup>lt;sup>27</sup> "The Dutch cruisers were sunk and Exeter badly damaged, while most of the destroyers were sunk or withdrew as their torpedoes were exhausted." *Loc.Cit.*, AWM.

<sup>&</sup>lt;sup>28</sup> *Loc.cit.*, PerthOne.

<sup>&</sup>lt;sup>29</sup> Loc.cit., AWM.

Sunda strait, when ship got striked by three torpedoes and consequently sank at 00.25 on 1 March 1942.<sup>30</sup> "Of the Perth's company of 686, which included four civilian canteen staff and six RAAF personnel for operating and servicing her aircraft, only 218 (including one civilian and two RAAF) were eventually repatriated; the remainder were killed during, or soon after, the action, or died as prisoners of war."<sup>31</sup>

# b. Warship Status and Sovereign Immunity of HMAS Perth

Warship is defined as "a ship belonging to the armed forces of a State bearing the external marks distinguishing such ships of its nationality, under the command of an officer duly commissioned by the government of the State and whose name appears in the appropriate service list or its equivalent, and manned by a crew which is under regular armed forces discipline."32 Australian Government purchased HMAS Perth, and commissioned her to Royal Australian Navy on 29 June 1939, thus the condition of belonging to armed forces of a State is fulfilled. Further it needs to bear distinguishable external marks stating the nationality of a ship. By looking at several pictures from era at which HMAS Perth sailed as well as official data collected, it is visible that she belonged to Royal Australian Navy.<sup>33</sup> Than ship needs to be under command of an officer, commissioned by government. On 24 October 1941 Captain Hector Macdonald Laws Waller assumed command over HMAS Perth. Captain Waller joined Royal Australian Navy in 1913 by entering Royal Australian Navy College, and graduated in 1917.<sup>34</sup> Personnel on board of HMAS Perth were in total 681 out of which 671 were man who were a part of navy, as naval personnel. HMAS Perth before it sank was in service of Australian Government, was a navy owned ship, baring clear

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<sup>&</sup>lt;sup>30</sup> Loc.cit., AWM.

<sup>&</sup>lt;sup>31</sup> *Ibid*.

<sup>&</sup>lt;sup>32</sup> Supra Note 13.

<sup>&</sup>lt;sup>33</sup> A Brief History of the Australian Cruiser HMAS Perth (*online*), http://www.perthone.com/perth.htm, (19 July 2014); Australian Navy, HMAS Perth (I) (*online*), http://www.navy.gov.au/hmas-perth-i, (19 July 2014); Australian War Memorial, The Loss of HMAS Perth, 1 March 1942 (*online*), http://www.awm.gov.au/encyclopedia/perth/loss/, (19 July 2014).

<sup>&</sup>lt;sup>34</sup> Captain Hector MacDonald Laws Waller (*online*), http://defence-honours-tribunal.gov .au/wp-content/uploads/2013/01/AF13050626.pdf, (05 July 2014).

nationality marks, under command of an officer, and manned by naval personnel, thus HMAS Perth had a status of a warship.

The use of warships in general is of non-commercial nature. Such fact bestowed sovereign immunity on the warship as regulated in Article 32 of UNCLOS 1982. Sovereign immunity means that a warship shall not be "subject to seizure, arrest or detention by any legal process, nor to any proceedings *in rem*." Historical records show the fact that in short period that HMAS Perth was a part of Royal Australian Navy, she was used for non-commercial purpose by Australian Government, entitling HMAS Perth on sovereign immunity status.

# c. HMAS Perth as a Sovereign wreck and Loss of Sovereign Wreck Status

Warship used by a State for non-commercial purpose at the time of sinking has 'sovereign wreck 'status.<sup>36</sup> UNCLOS 1982 is silent on sovereign wrecks. IMO Convention on Salvage 1989 (Convention 1989) stipulates that sunken warships and state owned vessels are entitled to sovereign immunity, that this convention shall not apply if State that owns such vessel decides otherwise,<sup>37</sup> implying that sovereign immunity from the period at which these vessels were in service, applies to the wreck as well. UNESCO Convention on the Protection of Underwater Cultural Heritage from 2001 (Convention 2001) regulates considering State vessels as underwater cultural heritage,<sup>38</sup> retaining stand point that sovereign immunity status still applies.<sup>39</sup> HMAS Perth's status, analyzed in regard of Convention 1989 and Convention 2001 is a sovereign wreck, thus no action directed towards the wreck is allowed without explicit permitt of the wrecks owner, in this case Australia.

Nevertheless, sovereign wreck could lose hers sovereign immunity status if:

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<sup>&</sup>lt;sup>35</sup> Article 3, Paragraph (1), Convention 1926.

<sup>&</sup>lt;sup>36</sup> Craig JS Forrest, *Culturally and Environmentally Sensitive Sunken Warships (online)*, Australian and New Zeland Maritime Law Journal, https://maritimejournal.murdoch.edu.au/index.php/maritimejournal/article/view/181, (10 July 2014), 2012.

<sup>&</sup>lt;sup>37</sup> Article 4, Paragraph (1) & (2), IMO Convention 1989.

<sup>&</sup>lt;sup>38</sup> Article 1, Number (8) Convention 2001.

<sup>&</sup>lt;sup>39</sup> *Ibid.*, Article 2, Number (8).

- 1) It was abandoned by the State<sup>40</sup> There are two theories proposed, first that State expressly abandoned ownership over state vessel, and second, that abandonment is implied through State's inaction and passing of time.<sup>41</sup> Expressive abandonment is what is generally accepted by national laws<sup>42</sup>, as well is seen though state practices and municipal courts decisions.<sup>43</sup> Implied abandonment on the other hand is rejected by majority of states firmly claiming that sovereign right over warship wrecks is neither extinguished by passing of time nor States inaction.<sup>44</sup>
- 2) Taken by mutinous crew UNCLOS 1982 regulates that acts of piracy done by such a warship shall be "assimilated as acts committed by a private ship" implying that sovereign immunity is lost.
- 3) The hostal takeover by enemy force The transfer of title occurs trough capture of warship in ongoing battle before it actually sinks.<sup>46</sup>

Historical records show that HMAS Perth sank with her crew, it was not taken over by the enemy nor her crew was mutinous, thus HMAS Perth's sovereign wreck status is not lost. Regarding abandonment there are no records that Australia has abandoned HMAS Perth's Wreck, on

<sup>40</sup> Loc.Cit., Craig.

<sup>&</sup>lt;sup>41</sup> *Ibid*.

<sup>&</sup>lt;sup>42</sup> Abandoned Shipwreck Act of 1987 of United States.

<sup>&</sup>lt;sup>43</sup> United States of America v. Richard Steinmetz (973 F. 2d 212 – 1992); Baltimore, Crisfield & Onancock, Inc., v. United States of America, (140 F. 2d 230 – 1944); Sea Hunt, Inc. v Unidentified Shipwrecked Vessel or Vessels (221 F.3d 634 - 2000); Odyssey Marine Exploration Inc. v Unidentified shipwrecked vessel (No10-1037 – 2011).

<sup>&</sup>lt;sup>44</sup> Communication from the UK Foreign and Commonwealth Office, July 4, 2003; Communication from the French Foreign Ministry, November 28, 2003; Communication from the German Foreign Ministry, October 30, 2003; Communication from the Government of Japan, September 13, 2003; Communication from the Government of the Russian Federation, October 3, 2003; Embassy of Spain, Washington, DC, Note No. 128, December 19, 2002. US Departement of State (public Notice 4614), Office of Ocean Affairs; **Protection of Sunken Warships, Military Aircraft and Other Sunken Government Property** (*online*), http://www.gpo. gov/fdsys/pkg/FR-2004-02-05/pdf/04-2488.pdf, (09 July 2014).

<sup>&</sup>lt;sup>45</sup> Article 102, UNCLOS 1982.

<sup>&</sup>lt;sup>46</sup> Rob Regan, When Lost Liners Become Found: An Examination of the Effectiveness of Present Maritime Legal and Statutory Regimes for Protecting Historic Wrecks in International Waters with Some Proposals for Change (online), Tulane Maritime Law Journal, Volume 29, http://www.rms-republic.com/reference/TulaneLawJournal.pdf, (21 July 2014), 2005, page 336; Admiral Nakhimov incidet – Russian warship captured by Japan, Loc. Cit. Craig.

contrary recent statement made by Department of defense imply otherwise. 47

#### d. HMAS Perth as a War Grave

Contemporary International Law does not specifically regulates War Graves, but under Geneva Conventions for the protection of war victims of 12 August 1949 and Additional Protocols of 8 June 1977 (Convention 1949), is stipulated obligation to the Parties to treat their dead with respect, <sup>48</sup> properly register them <sup>49</sup> and if possible conduct medical examination. <sup>50</sup>

Great Britain government has "attached particular historical and sentimental value to the remains of the soldiers who went down with the ship"<sup>51</sup> by adopting the Protection of Military Remains Act 1986. This Act forbids any excavation or diving or salvage, <sup>52</sup> even if such operation is conducted in international water, a person is held liable based on extraterritorial jurisdiction. <sup>53</sup> United States navy has clear policy considering warship wrecks, especially those that have human remains onboard. It stated that "salvers should not presume that sunken U. S. warships have been abandoned by the United States. Permission must be granted from the United States to salvage sunken U.S. warships, and as a matter of policy, the United States Government does not grant such permission with respect to ships that contain the remains of deceased servicemen [...]"<sup>54</sup>

<sup>&</sup>lt;sup>47</sup> "The Chief of Navy, Vice Admiral Ray Griggs has written to his counterpart the Chief of the Indonesian Navy, Admiral Dr. Marsetio on this issue. The Australian embassy Defence staff in Jakarta continues to work on this with Indonesian officials. [...] As modern custodians of that heritage no one is more passionate than Navy in preserving the sites where our war dead still lie." Australia's Departement of Defence, **Media Reporting of Salvage Activity on the Wreck of HMAS Perth I** (*online*), http://news.defence.gov.au/2013/12/14/media-reporting-of-salvage-activity-on-the-wreck-of-hmas-perth-i/, (09 July 2013).

<sup>&</sup>lt;sup>48</sup> Article 18, Convention 1949.

<sup>&</sup>lt;sup>49</sup> *Ibid.*, Article 19.

<sup>&</sup>lt;sup>50</sup> *Ibid.*, Article 20.

<sup>&</sup>lt;sup>51</sup> Jason R. Harris, **The Protection of Sunken Warships as Gravesites at Sea**, Ocean and Coastal Law Journal, Volume 7.1, page 124.

<sup>&</sup>lt;sup>52</sup> Section 2, Paragraph (2), Protection of Military Remains Act 1986.

<sup>&</sup>lt;sup>53</sup> Ibid., Section 3, Paragraph (1), Letters (a) & (b).

<sup>&</sup>lt;sup>54</sup> Robert S. Neyland, **Sovereign Immunity and the Management of United States Naval Shipwrecks** (*online*), http://www.history.navy.mil/branches/org12-7h.htm, (13 July 2014).

Australia and Indonesia do not have specific regulation on warship wrecks as war graves, but both states have regulations on acknowledgement and commemoration of its veterans.<sup>55</sup> HMAS Perth sank with more than a half of its naval personnel, thus it can be presumed that some of their remains are still trapped with in the wreck. Thus under both states national laws, respect should be given towards these veterans and their final resting ground protected.

# 2. Rights and Obligations of Indonesia and Australia Regarding HMAS Perth's Wreck

# a. Territorial Sea Regime and Sovereign Immunity of Warship Wreck

Sovereignty of coastal State over its territorial waters is unquestionable. In case of foreign flag state shipwrecks discovery in territorial waters, the coastal State has duty only to inform the flag state of discovery. Clearly in such case there is a clash between two types of State jurisdictions, exclusive jurisdiction of coastal State over its territorial waters, and of Flag State over its sovereign wreck.

Innocent passage is only limitation of coastal state's sovereignty over its territorial sea,<sup>56</sup> thus, "the activities related to the recovery of archaeological and historical objects in these waters, therefore, are governed exclusively by the laws of the coastal State".<sup>57</sup> However, Indonesia should do its best to preserve good neighboring relations by preventing any unauthorized action directed towards recovering HMAS Perth's Wreck. Such obligation rises out of international law principle stating *Sic utere tu et alienum non laedas*<sup>58</sup>, where Indonesia should not allow its territorial sea be to used by salvagers in their effort to salvage HMAS Perth, especially if Australia, as owner of the wreck, did not give

58 "Use your own property in such manner as not to injure that of another."

12

<sup>&</sup>lt;sup>55</sup> War Cabinet decision of 10 March 1922; Indonesian Government Regulation Number 1 Year 2010 Considering the Board of Title, Mark of Services, and Mark of Honor. (*Peraturan Pemerintah Republik Indonesia Nomor 1 Tahun 2010 Tentang Dewan Gelar, Tanda Jasa, Dan Tanda Kehormatan*).

<sup>&</sup>lt;sup>56</sup> Article 17, UNCLOS 1982.

<sup>&</sup>lt;sup>57</sup> Moritaka Hayashi, 1996, **Archeological and Historical Objects under The United Nations Convention on the Law of the Sea**, Marine Policy, Vol. 20, No. 4, 1996, page 293.

permit. It is every State's duty to fulfill in a good faith the obligation assumed by a State, either obligation originating from general principle of international law, international rule or agreement between state.<sup>59</sup>

# b. Right of Salvage of HMAS Perth

Only flag State has exclusive right to decide whether or not there will be any salvaging operation directed towards the sovereign wreck. <sup>60</sup>One of the reasons for retaining control over sunken state vessel is to protect information's important for its national security, which could be endangered if recovery was done by another state. <sup>61</sup>

Location of warship wreck is the only factor that could influence the power that flag state has over it. Coastal State has sovereignty over its territorial sea, thus if location of warship wreck which belong to a foreign flag State is within territorial sea of another state, such salvaging operation are governed exclusively by laws of coastal State. Nairobi Convention 2007 proscribes only an option for a State party to extend application of convention to its territorial sea. While UNESCO Convention 2001 stipulates that "Coastal States have the exclusive right to regulate and authorize activities directed at underwater cultural heritage in their internal waters, archipelagic waters and territorial sea."

# c. Indonesian and Australian National Legislation considering Warship Wrecks and Salvage

#### 1) Indonesian National Legislation

Since year 2000 there has been growing interest in Indonesia regulating cultural and archeological objects found at sea.<sup>65</sup> Act of

<sup>63</sup> Article 3, Paragraph (2), Nairobi Convention 2007.

<sup>&</sup>lt;sup>59</sup> Resolution adopted by the General Assembly 2625 (XXV). Declaration on Principles of International Law concerning Friendly Relations and Co-operation among States in accordance with the Charter of the United Nations.

<sup>&</sup>lt;sup>60</sup> Article 4, Paragraph 1 IMO Convention 1989.

<sup>&</sup>lt;sup>61</sup> Natalino Ronizzoti, 2000, **The Legal Regime of Wrecks of Warships and Other State-Owned Ship in International Law** (*online*), Yearbook of Institute of International Law, Rhodes Session, Volume 74, http://www.idi-iil.org/idiE/annuaireE/2011/Question2\_Ronzitti-2012-10-17.pdf, (12 July 2014).

<sup>&</sup>lt;sup>62</sup> *Loc.Cit.*, Moritaka.

<sup>&</sup>lt;sup>64</sup> Article 7, Paragraph (1), UNESCO Convention 2001.

<sup>65</sup> Harry Octavianus Sofian, *Permasalahan Arkeologi Bawah Air Di Indonesia Underwater Archaeology Issues InIndonesia (online)*, Jurnal Kapata Arkeologi, Jurnal Arkeologi Wilayah Maluku Dan Maluku Utara Balai Arkeologi Ambon, Vol. 6 No. 11,

Republic Indonesia Number 11 Year 2010 considering Cultural Heritage - Undang-Undang Republik Indonesia Nomor 11 Tahun 2010 Tentang Cagar Budaya (Act 11/2010) regulates considering cultural heritage on land or in water, <sup>66</sup> giving legal protection to such heritage, which has to be established as such, if not it is not entitled on protection by this Act. HMAS Perth is not established as Cultural heritage under Act 11/2010, thus enjoys no protection.

Indonesian President have issued Presidential Decree Number 12 Of 2009 About Amendment to Presidential Decree Number 19 Year 2007 Concerning Appointment of National Committee and Utilization of Valuable Items originating from Sunken Ships Cargo - Keputusan Presiden Republik Indonesia Nomor 12 Tahun 2009 Tentang Perubahan Atas Keputusan Presiden Nomor 19 Tahun 2007 Tentang Panitia Nasional Pengangkatan Dan Pemanfaatan Benda Berharga Asal Muatan Kapal Yang Tenggelam (Decision 12/2009), forming National Committee whose duty, amongst others, is to organize coordination of monitoring activities, supervision, and control over the process of surveying, removal and utilization valuable cargo from sunken ships. <sup>67</sup> Ministry for Culture <sup>68</sup> is authorized to issue license to those individuals or organization interested in conducting survey, salvaging or usage<sup>69</sup> of cultural heritage objects, found on land or in water, as regulated in Government Regulation of Republic Indonesia number 10 Year 1993 Considering Implementation of Act Number 5 Year 1992 considering Cultural Heritage Objects - Peraturan Pemerintah Republik Indonesia Nomor 10 Tahun 1993 Tentang Pelaksanaan Undang-Undang Nomor 5 Tahun 1992 Tentang Benda Cagar Budaya (Regulation 10/1993).

https://www.academia.edu/3540870/Permasalahan\_Arkeologi\_Bawah\_Air\_di\_Indonesia\_In\_Indo nesian\_Language\_, (21 July 2014), 2010.

<sup>&</sup>lt;sup>66</sup> Article 1, Number (1) Act 11/2010.

<sup>&</sup>lt;sup>67</sup> *Ibid.*, letter (d).

<sup>&</sup>lt;sup>68</sup> Article 17, Paragraph (1), Regulation 10/1993.

<sup>&</sup>lt;sup>69</sup> *Ibid.*, Paragraph (2).

Salvaging of ships in distress is regulated in Act of Republic Indonesia Number 17 Year 2008 considering Shipping – *Undang-Undang Republik Indonesia Nomor 17 Tahun 2008 tentang Pelayaran* (Act 17/2008). Salvaging in Indonesian waters is done towards ships wreck, and its cargo that experienced accident or it sank. Act 17/2008 is silent on type of ship wreck, whether commercial vessels only or includes State vessels as well, nor it regulates the period of time, meaning it applies on ship that just wrecked or ship that has been in such condition for a while. The permit for salvaging in Indonesian waters is issued by Minister in charge of shipping. In Indonesian

Minister of Transportation's Regulation Number PM 71 Year 2013 considering Salvage and/or Under-water Work – *Peraturan Menteri Perhubungan Nomor PM 71 Tahun 2013 tentang Salvage dan/atau Pekerjaan Bawah Air* (Regulation PM 71/2013). This regulation refers to ships wreck as any ship that sank, or stranded, or washed on shore and has been abandoned. The salvage operation, according to Regulation PM 71/2013, needs a permit from General Director within Ministry of Transportation, and can be conducted only by specially licensed business. Obligation to remove wreck falls on wreck's owner, if discovered wreck is unclaimed than obligation to remove wreck goes to Head of Technical Assistance Unit of nearest Port. Specific salvaging of warship is not regulated within Regulation PM 71/2013, so presumably general stipulations apply.

Sovereign status of warship is acknowledged in Indonesian law. Act of Republic Indonesia Number 34 Year 2004 considering Indonesian National Army – *Undang-undang Republik Indonesia* Nomor 34 Tahun 2004 Tentang Tentara Nasional Indonesia (Act

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<sup>&</sup>lt;sup>70</sup> *Ibid.*, Article 204, Paragraph (1).

<sup>&</sup>lt;sup>71</sup> *Ibid.*, Paragraph (2).

<sup>&</sup>lt;sup>72</sup> Article 1, Number (3), Regulation PM 71/2013.

<sup>&</sup>lt;sup>73</sup> *Ibid.*, Article 6, Paragraph (1).

<sup>&</sup>lt;sup>74</sup> *Ibid.*, Article 3, Paragraph (1).

<sup>&</sup>lt;sup>75</sup> *Ibid.*, Article 13, Paragraph (1).

<sup>&</sup>lt;sup>76</sup> *Ibid.*, Article 15, Paragraph (3).

34/2004) regulates that one of the duties of Navy is to conduct Naval diplomacy by giving support to national policy abroad set by Indonesian government.<sup>77</sup> Here is referred to diplomatic function of Navy recognized by International Customary Law, seen through diplomatic immunity and full sovereignty as part of every State's warship sailing foreign waters.<sup>78</sup>

## 2) Australian National Legislation

Sovereign status of warship wrecks is recognized through international customary law as well through state practices, as previously discuses, thus HMAS Perth as sovereign wreck falls under Australian Jurisdiction. While Indonesian law is silent on warship wrecks, Australian law is governing shipwrecks, especially wrecks of historical importance, focus solely on wrecks protection. However, Historic Shipwreck's Act 1976 stipulation considering which shipwreck is considered as historic shipwreck does not apply on HMAS Perth, because one of condition is that shipwreck needs to be situated in Australian waters or in waters above continental shelf of Australia, while HMAS Perth's wreck is within Indonesian Territorial Sea.

# d. Duty to Cooperate Under International Law

Indonesia and Australia are both State Parties to UNCLOS 1982.<sup>79</sup> It is stipulated in UNCLOS 1982 that for the sake of protection of historical objects found at sea the States cooperation is advised.<sup>80</sup> Duty to cooperate proscribed by contemporary international law does not diminish coastal State's exclusive jurisdiction, but it underlines general principles of international law.<sup>81</sup>

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<sup>&</sup>lt;sup>77</sup> Article 9, letter (c), Act 34/2004.

<sup>&</sup>lt;sup>78</sup> Clarification of Article 9, Letter (c) of Act 34/2004.

<sup>&</sup>lt;sup>79</sup> Treatties Office Database, **Summary of Treaties** (*online*), http://ec.europa.eu/world/agreements/ prepareCreateTreatiesWorkspace/treatiesGeneralData.do?redirect=true&treatyId=511 (20 July 2014).

<sup>&</sup>lt;sup>80</sup> Article 303, Paragraph 1, UNCLOS 1982.

<sup>81</sup> Loc.Cit., Craig.

There are many precedents on subject of States cooperation<sup>82</sup> regarding protection and salvaging of wrecks, where two or more state have claim. The Agreement between the Netherlands and Australia Concerning Old Dutch Shipwrecks<sup>83</sup> found in Western Australian coast is an example how a state can express its interest in shipwrecks once owned by it. Australia considered that passing of 300 years without any attempt to locate shipwrecks is implied abandonment, Netherlands disagreed, and issue was resolved through agreement in 1972.<sup>84</sup>

#### E. Conclusion

Warships are seagoing vessels entitled on sovereign immunity status. The warship wreck status is not clearly regulated under contemporary international law, but customary law and state practices agree that warship wreck is a sovereign wreck. Only state that owns sovereign wreck has exclusive right to manage activities directed on sovereign wreck.

If the wreck was expressively abandoned by a State, or warship was taken by mutinous crew or takeover by enemy force before it sank, would cause wreck to lose its sovereign status. Location of the wreck does not diminish right of the owner State. HMAS Perth's wreck is in Indonesian territorial sea, giving Indonesia control over access while Australia still remains an owner. UNCLOS 1982 in Article 303 recommends cooperation in case such as of HMAS Perth.

International law should formally regulate status of sovereign wrecks. Leaning on customary law, as well on state practices, gives little if non protection towards these wrecks. Indonesia and Australia should cooperate on the issue.

84 Loc.Cit., Patrick.

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<sup>82 &</sup>quot;Exchange of Notes Constituting an Agreement between the Government of the United Kingdom of Great Britain and Northern Ireland and the Government of Italy regarding the salvage of *H.M.S. Spartan*, Rome, Nov. 6, 1952, 158 U.N.T.S. 432 (1952); Agreement between the Government of the United Kingdom of Great Britain and Northern Ireland and the Government of the Republic of South Africa regarding the salvage of *H.M.S. Birkenhead*, Pretoria, Sept. 27, 1989, U.K.T.S. No. 3 (1990), Cm. 906, 60 BRIT. Y.B. INVL L. 671 (1990); Agreement between the Government of the French Republic and the Government of the United States of America concerning the wreck of the *CSS Alabama*, Paris, Oct. 3, 1989, T.I.A.S. No. 11687, UN, LOS BULL. No. 20, Mar. 1992"; J. Ashley Roach, **Sunken Warships and Military Craft** (online), http://www.history.navy.mil/branches/org12-7j.htm, (13 July 2014).

<sup>&</sup>lt;sup>83</sup> "Zuytdrop (1712), Batavia (1629), Vergulde Draedk (1656) and Zeewyk (1727)." Australia and the Netherlands Concerning Old Dutch Shipwrecks (online), http://www.environment.gov.au/node/20233, (09 July 2014).

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